

EXPRESS MAIL LABEL NO.:EL 823 500 430 US

11046 U.S. PTO
10/07/372
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David Wesley Smith

Atty Dkt No.: 2001P09661US01

Serial No.: Not yet assigned

For: System and Method for Monitoring Computer Application and Resource Utilization

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

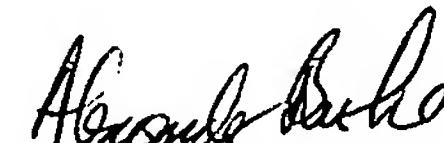
Sir:

Applicants submit the references listed on the attached FORM PTO-1449, copies of which are enclosed. This statement is being filed simultaneously with the above-identified application.

The Examiner is respectfully requested to make this information of record in this case.

Respectfully submitted,

Date: 15 February 2002


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Form PTO-1449	U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. 2001P09661US01	Serial No. Not yet Assigned
INFORMATION CITED BY APPLICANT (Use several sheets if necessary)		Applicant David Wesley Smith	
		Filing Date Herewith	Group

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U.S. Patent Documents

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if appropriate
	5,949,415	9/7/99	Lin et al.	345	335	
	5,930,773	7/27/99	Crooks et al.	705	30	
	6,249,802	6/19/01	Richardson et al.	709	200	
	4,796,181	1/3/89	Wiedemer et al.	364	406	
	5,694,549	12/2/97	Carlin et al.	395	200	
	4,780,821	10/25/88	Crossley	364	200	
	5,023,907	6/11/91	Johnson et al.	380	4	
	5,553,239	9/3/96	Heath et al.	395	187	
	6,282,701 B1	8/28/01	Wydogny et al.	717	4	
	6,202,199 B1	3/13/01	Wydogny et al.	717	4	

Foreign Patent Documents

Document Number	Date	Country	Class	Subclass	Translation

Other Prior Art (including Author, Title, Date, Pertinent Pages, etc.)

	Alan Rdding, "the Much Talked About, Often Disparaged, but increasingly Evident ASP Threat," Sept., 2000, Consulting Magazine (as appeared on www.consultingcentral.com).
	Larry Simmons, "Extending Legacy to the Internet - transforming Mainframe Applications," 1999, www.simotime.com

Examiner	Date Considered
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